

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Joseph G. GATTO CONFIRMATION No.: 7177
SERIAL NUMBER : 09/893,460 EXAMINER: Narayanswamy Subramanian
FILING DATE : June 29, 2001 ART UNIT: 3691
FOR : SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby makes of record in the above-identified application the documents listed on the attached Form PTO-1449.

Applicant has listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated. Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not an issue.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This Supplemental Information Disclosure Statement is being filed within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. §1.53(d), or within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, or before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. No certification or fee is required. 37 C.F.R. §1.97(b).

Documents A-E were cited by the U.S. Patent Office in co-pending Application Serial No. 09/722,050, filed November 27, 2000, in a Notice of Allowability dated September 23, 2008.

Documents F-I were cited by the U.S. Patent Office in co-pending Application Serial No. 11/594,886, filed November 9, 2006, in an Office Action dated September 29, 2008.

Documents J-Q were cited by the U.S. Patent Office in co-pending Application Serial No. 10/194,940, filed July 12, 2002, in an Office Action dated November 12, 2008.

It is respectfully requested that the Examiner initial and return a copy of the enclosed
PTO-1449.

Respectfully submitted,

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